

KOENIG SOLUTIONS • COURSE OUTLINE

ISO for Gaming: ISO 27001, 9001 & AML Audit

Learn to align ISO 9001, 27001, 22301 and 31000 with AML governance and lead internal audits for gaming compliance.

2 Days

16 HOURS

7

MODULES

16

TOPICS

2

HANDS-ON ACTIVITIES

COURSE OVERVIEW

This customized 16-hour masterclass is designed for the Country Manager and Chief Compliance Officer of Choplife Gaming, Kigali. It focuses on ISO certification, compliance, and internal audit processes relevant to the gaming industry, integrating best practices from ISO 9001, 27001, 22301, and 31000 frameworks with Anti-Money Laundering (AML) governance.

WHO THIS COURSE IS FOR

Target Audience:

Compliance Managers

Internal Auditors

Risk & Governance Professionals

LEARNING OBJECTIVES

By the end of this course, participants will be able to:

- Understand ISO certification processes and identify relevant standards for gaming
- Integrate ISO 9001, 27001, and 22301 frameworks with gaming compliance operations
- Develop documentation, audit mechanisms, and corrective actions aligned with ISO standards
- Conduct internal audits and maintain certification readiness
- Align AML and ISO frameworks for unified governance and risk management

CERTIFICATION

Koenig Certified ISO Implementation & Audit Essentials – Gaming Sector Focus

7 Modules, 16 Topics

DAY 1

ISO Overview, Certification Process & Documentation

8 hours

01

Introduction & Context

TOPICS

- Understanding ISO ecosystem and international standardization bodies (ISO, IEC, IAF)
- ISO standards relevant to gaming (ISO 9001 – Quality, ISO 27001 – Security, ISO 22301 – Continuity, ISO 31000 – Risk)

02

Certification Process Deep Dive

TOPICS

- **The end-to-end certification cycle:** Readiness, Documentation, Implementation, Internal Audit, and External Audit
- Gap assessment and pre-audit planning for gaming companies

03

Documentation & Implementation (Hands-on)

TOPICS

- Establishing process controls and policy frameworks (KYC, transaction monitoring, data security)
- **Drafting documentation:** Policy statements, operational SOPs, risk registers, audit logs
- Alignment between ISO and AML frameworks for operational governance

ACTIVITY

- Gap assessment exercise for Choplife Gaming's existing compliance setup

01

Internal Audit Fundamentals

TOPICS

- ISO 19011 guidelines – planning, conducting, and reporting audits
- Role of internal audit in ISO 9001 and 27001 compliance
- Creating internal audit schedules and checklists

02

Corrective and Preventive Actions (CAPA)

TOPICS

- Identifying non-conformities and performing root-cause analysis
- Designing corrective action plans linked to business risk

03

Preparing for Certification

TOPICS

- Documentation readiness and evidence collection
- Mock audit simulation (Stage 1 & Stage 2)

04

Integration with AML Governance

TOPICS

- Mapping ISO controls to AML obligations (EDD, record-keeping, risk ownership)
- Developing a unified compliance dashboard

ACTIVITY

- Conduct mock internal audit & build 90-day ISO readiness plan

NOTES

- Mode: Live Online / Onsite
- Executive-level content condensed to 16 hours of high-impact learning.
- Directly relevant to gaming compliance, digital transactions, and AML oversight.
- Provides practical, implementation-driven understanding of ISO compliance.
- Aligns gaming governance with international risk and quality frameworks.
- Roadmap for ISO certification readiness tailored to the gaming sector.
- Custom ISO documentation templates (policies, checklists, audit forms).
- Integration approach between ISO 27001 (information security) and AML governance.
- Certificate of Completion: Koenig Certified ISO Implementation & Audit Essentials – Gaming Sector Focus.
- This masterclass equips Choplife Gaming's compliance leadership with practical knowledge to strengthen governance, internal audits, and operational alignment with ISO standards. It bridges gaming sector compliance requirements with ISO's internationally recognized best practices for sustainable and compliant growth.

WHAT IS INCLUDED

Everything You Need to Succeed

- Koenig course material for every module
- Delivery by certified instructors and subject matter experts
- Hands-on exercises throughout the course
- Course completion certificate from Koenig

ABOUT KOENIG

Who We Are

Established in 1993, Koenig Solutions is a globally recognised training provider with 35+ technology partnerships, 5,000+ training programmes and a network of certified instructors and subject matter experts, supporting individuals and enterprise teams worldwide.

FLEXIBLE DELIVERY

Learn the Way That Suits You

Classroom Training

Live Online Classes (ILO)

Fly-Me-A-Trainer (FMAT)

Flexi (Self-Paced)

1-on-1 Training

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