KOENIG SOLUTIONS · COURSE OUTLINE

Banking Risk & Compliance: Basel & Credit Risk

Learn to analyse bank business models, products, loan agreements, financial risk and Basel-based regulatory compliance.

3 Days	9	118
DURATION	MODULES	TOPICS

COURSE OVERVIEW

This course covers banking fundamentals, bank business models, key activities, funding, income and accounting considerations. It examines bank debt and deposit products, product marketing, and the components of loan agreements including definitions, representations and covenants. It then addresses financial risk management across credit, market and operational risk categories, along with Basel banking risk regulation covering capital requirements. Finally, it looks at banking and financial institution compliance, including microprudential and macroprudential regulation, consumer protection, anticrime regulation and payment regulation.

WHO THIS COURSE IS FOR

- **Risk Managers**
- **Compliance Officers**
- **Credit Analysts**
- **Banking Professionals**
- **Regulatory Affairs Specialists**

LEARNING OBJECTIVES

- Explain core banking fundamentals and business models
- Identify key bank activities, funding types and income sources
- Describe accounting considerations relevant to banking
- Compare bank debt and deposit products and their marketing
- Analyse loan agreement components, definitions and covenants
- Assess credit, market and operational risk categories
- Explain Basel regulation areas and capital requirements
- Describe microprudential and macroprudential compliance regulation

COURSE SYLLABUS

9 Modules, 118 Topics

01

Introduction

TOPICS

Introduction · Useful Information

02

Fundamentals

TOPICS

Banking Fundamentals · Banking Fundamentals Quiz

03

Banking Business Models

TOPICS

- Intro
- Key Activities
- Key Activities Quiz
- Types of Funding
- Types of Funding Quiz
- Income and Expenses
- Income and Expenses Quiz
- Accounting Considerations
- Accounting Considerations Quiz
- Outro

04

Bank Products

TOPICS

Intro · Bank Debt Products · Bank Debt Products Quiz · Bank Deposit Products · Bank Deposit Products Quiz · Product Marketing · Product Marketing Quiz · Outro

05

Loan Agreements

TOPICS

- Intro
- Agreement Components
- Agreement Components Quiz
- Definitions
- Definitions Quiz
- Representations
- Representations Quiz
- Covenants
- Covenants Quiz
- Financial Covenants
- Financial Covenants Quiz
- Outro

06

Financial Risk Management

TOPICS

- Module Intro
- Risk Cats.: Intro
- **Risk Cats.:** Credit Risk: Intro
- **Risk Cats.:** Credit Risk: Overview Quiz
- **Risk Cats.:** Credit Risk: Portfolio Management
- **Risk Cats.:** Credit Risk: Portfolio Management Quiz
- **Risk Cats.:** Mkt. Risk: Intro
- **Risk Cats.:** Mkt. Risk: Levels of Market Risk
- **Risk Cats.:** Mkt. Risk: Levels of Market Risk Quiz
- **Risk Cats.:** Mkt. Risk: Interest Rate Risk
- **Risk Cats.:** Mkt. Risk: Interest Rate Risk Quiz
- **Risk Cats.:** Mkt. Risk: Measurement - Var
- **Risk Cats.:** Mkt. Risk: Measurement - Var Quiz
- **Risk Cats.:** Mkt. Risk: Measurement - Others
- **Risk Cats.:** Mkt. Risk: Measurement - Others Quiz
- **Risk Cats.:** Op. Risk: Intro
- **Risk Cats.:** Op. Risk: Overview
- **Risk Cats.:** Op. Risk: Overview Quiz
- **Risk Cats.:** Op. Risk: Internal Process Risk
- **Risk Cats.:** Op. Risk: Internal Process Risk Quiz
- **Risk Cats.:** Op. Risk: Systems and Cyber Risk
- **Risk Cats.:** Op. Risk: Systems and Cyber Risk Quiz
- **Risk Cats.:** Op. Risk: People/Conduct Risk
- **Risk Cats.:** Op. Risk: People/Conduct Risk
- **Risk Cats.:** Op. Risk: People/Conduct Risk Quiz
- **Risk Cats.:** Op. Risk: Legal and Compliance Risk
- **Risk Cats.:** Op. Risk: Legal and Compliance Risk Quiz
- **Risk Cats.:** Op. Risk: Modeling Risk
- **Risk Cats.:** Op. Risk: Modeling Risk Quiz
- **Risk Cats.:** Trading Leverage/Liquidity Risk
- **Risk Cats.:** Trading Leverage/Liquidity Risk Quiz
- Banking Risk Reg.: Intro
- **Banking Risk Reg.:** Basel Regulation Areas
- **Banking Risk Reg.:** Basel Regulation Areas Quiz
- **Banking Risk Reg.:** Credit Risk Capital
- **Banking Risk Reg.:** Credit Risk Capital Quiz
- **Banking Risk Reg.:** Market Risk Capital
- **Banking Risk Reg.:** Market Risk Capital Quiz
- **Banking Risk Reg.:** Operational Risk Capital
- **Banking Risk Reg.:** Operational Risk Capital Quiz
- Module Outro

07

Banking and Financial Institution Compliance

TOPICS

- Module Intro
- Compliance Essentials
- Compliance Essentials Quiz
- Inherent Conflicts
- Inherent Conflicts Quiz
- Regulation in the US/EU
- Regulation in the US/EU Quiz
- BankRegAreas: Intro
- BankRegAreas: Overview
- **BankRegAreas:** Overview Quiz
- **BankRegAreas:** MicroPrud: Intro
- **BankRegAreas:** MicroPrud: Basel Accord History
- **BankRegAreas:** MicroPrud: Basel Accord History Quiz
- **BankRegAreas:** MicroPrud: Three Pillars of Basel
- **BankRegAreas:** MicroPrud: Three Pillars of Basel Quiz
- **BankRegAreas:** MicroPrud: Capital Adequacy

Banking and Financial Institution Compliance (continued)

TOPICS (CONTINUED)

- **BankRegAreas:** MicroPrud: Capital Adequacy Quiz
- **BankRegAreas:** MicroPrud: Additional Restrictions
- **BankRegAreas:** MicroPrud: Additional Restrictions Quiz
- **BankRegAreas:** MicroPrud: Supervision and Enforcement
- **BankRegAreas:** MicroPrud: Supervision and Enforcement Quiz
- **BankRegAreas:** MacroPrud: Intro
- **BankRegAreas:** MacroPrud: Systemic Restrictions
- **BankRegAreas:** MicroPrud: Additional Restrictions
- **BankRegAreas:** MicroPrud: Additional Restrictions Quiz
- **BankRegAreas:** MicroPrud: Supervision and Enforcement
- **BankRegAreas:** MicroPrud: Supervision and Enforcement Quiz
- **BankRegAreas:** MacroPrud: Intro
- **BankRegAreas:** MacroPrud: Systemic Restrictions
- **BankRegAreas:** MacroPrud: Systemic Restrictions Quiz
- **BankRegAreas:** MacroPrud: Stress Testing
- **BankRegAreas:** MacroPrud: Stress Testing Quiz
- **BankRegAreas:** MacroPrud: Structural Reforms
- **BankRegAreas:** MacroPrud: Structural Reforms Quiz
- **BankRegAreas:** Consumer Protection
- **BankRegAreas:** Consumer Protection Quiz
- **BankRegAreas:** Anticrime Regulation
- **BankRegAreas:** Anticrime Regulation Quiz
- **BankRegAreas:** Banking Payment Regulation
- **BankRegAreas:** Banking Payment Regulation Quiz
- Module Outro

08

Conclusion

TOPICS

Outro

09

Bonus Lecture

TOPICS

Bonus Lecture

WHAT IS INCLUDED

Everything You Need to Succeed

- Koenig course material for every module
- Delivery by certified instructors and subject matter experts
- Hands-on exercises throughout the course
- Course completion certificate from Koenig

ABOUT KOENIG

Who We Are

Established in 1993, Koenig Solutions is a globally recognised training provider with 35+ technology partnerships, 5,000+ training programmes and a network of certified instructors and subject matter experts, supporting individuals and enterprise teams worldwide.

FLEXIBLE DELIVERY

Learn the Way That Suits You

Classroom Training | Live Online Classes (ILO) | Fly-Me-A-Trainer (FMAT) | Flexi (Self-Paced) | 1-on-1 Training

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