KOENIG SOLUTIONS · COURSE OUTLINE

Governance Audit: IIA, ESG & IT Governance

Learn to audit corporate governance, risk, ESG and IT governance activities in line with IIA guidance.

5 Days
DURATION

25
TOPICS

COURSE OVERVIEW

This course covers the key aspects of corporate governance, including governance assessment techniques, the business environment, control effectiveness and combating fraud. It examines preparation for a governance audit, drawing on IIA guidance, audit scoping, board oversight and the auditing of risk management and risk appetite. It then addresses auditing the audit committee, reputation, corporate social responsibility, ESG, and sustainability and environment audit, before moving to IT governance, audit frameworks, joint ventures, partnerships and business continuity planning. Finally, it covers auditing technology controls, management information governance, internal and external communication, and ongoing evaluations.

WHO THIS COURSE IS FOR

- **Internal Auditors**
- **Governance Officers**
- **Risk Managers**
- **IT Auditors**
- **Compliance Officers**
- **Audit Committee Members**

LEARNING OBJECTIVES

- Explain the key aspects of corporate governance and assessment
- Analyse the business environment and effectiveness of controls
- Describe approaches to combating fraud within governance structures
- Apply IIA guidance to scope and prepare a governance audit
- Evaluate risk management processes and risk appetite
- Assess the audit committee, reputation, CSR and ESG activities
- Plan an audit of sustainability and environment practices
- Identify controls for auditing IT governance and continuity planning

COURSE SYLLABUS

25 Topics

DAY 1 The Corporate Governance Requirements

TOPICS

- The Key Aspects of Corporate Governance
- Governance Assessment Techniques
- The Business Environment
- Analysing and Assessing the Effectiveness of Governance Controls
- Combating Fraud

DAY 2 Preparing for the Governance Audit

TOPICS

The Institute of Internal Auditors (IIA) · Scoping a Governance Audit · The Need for Governance Audit of the Board · Risk Management · Auditing the Overall Risk Management Process · Evaluating Risk Appetite

DAY 3 Reviewing the Key Aspects of Governance

TOPICS

Auditing the Audit Committee · Auditing Reputation · Corporate Social Responsibility (CSR) · Environment Social Governance (ESG) · Sustainability and Environment Audit

DAY 4 Auditing Other Key Governance Activities

TOPICS

Auditing IT Governance (IIA guidance) · IIA Global Technology Audit Guides · Use of Audit Frameworks · Auditing Joint Ventures and Partnerships · Auditing Business Continuity Planning

DAY 5 Auditing Technology Governance and Regulatory Compliance

TOPICS

Reviewing Key Controls Over Technology · Assessing Management Information Governance · Communication Internally and Externally · Ongoing Evaluations

WHAT IS INCLUDED

Everything You Need to Succeed

- Koenig course material for every module
- Delivery by certified instructors and subject matter experts
- Hands-on exercises throughout the course
- Course completion certificate from Koenig

ABOUT KOENIG

Who We Are

Established in 1993, Koenig Solutions is a globally recognised training provider with 35+ technology partnerships, 5,000+ training programmes and a network of certified instructors and subject matter experts, supporting individuals and enterprise teams worldwide.

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