

DAY 1

Anti-Money Laundering (AML) and Countering Terrorist Financing (CTF)

- Why the Current Focus on Anti-Money Laundering (AML) / Countering Terrorist Financing (CTF)?
- Money Laundering & the Financing of Terrorism Definitions
- Differences and Similarities between Money Laundering and Terrorist Financing
- Key Stages of Money Laundering
- Typologies & Techniques of Money Laundering
- Indicators of Money Laundering
- Products and Services Vulnerable to Money Laundering or Terrorism Financing
- Money Laundering Trends in (*Jurisdiction*)

DAY 2

The International Organizations of Anti-Money Laundering (AML) / Countering Terrorist Financing (CTF)

- The International bodies' response to Anti-Money Laundering (AML) and Countering Terrorist Financing (CTF)
- The International Measures to Prevent Money Laundering and Financing of Terrorism
- The Complex and Interrelated Risks with Respect to Money Laundering and Sanctions
- FATF and Its Roles of Anti-Money Laundering (AML)
- Customer Policies and Procedures
- International Legislation and Legislation Applicable to Your Jurisdiction
- The Wider Context for Financial Crime Risk and Regulation in which Your Firm Operates
- The Role and Responsibilities of (*Front / Back Office / AML / Compliance Officer*), and How these fit within the financial crime risk-management life cycle?

DAY 3

Compliance Strategies

- Jurisdiction Anti-Money Laundering (AML) and Countering Terrorist Financing (CTF)
- Laws and Regulations
- The Requirements for Robust Anti-Money Laundering Operations, Internal Controls, Procedures and Policies
- Cooperation with the Authorities and the Regulators

- Know Your Customer (KYC) and ID&V Rules
- Tipping-off
- Market Abuse and Insider Dealing
- Client Relations and Human Resources Strategies
- The Relevant Laws and Regulations in Your Jurisdiction, The International Standards and The Role of Law Enforcement

DAY 4

Recognizing and Reporting Suspicious Transactions

- Statutory Obligations
- Identifying Suspicious Transactions
- Internal and External Reporting of Suspicious Transactions
- Identifying High-risk Indicators - *Understand what to do with the information and whom to escalate it to*
- The Role of the MLRO
- The Importance of Record Keeping
- Responsibilities of Firms / Banks and Individuals
- Setting-up Monitoring Procedures

DAY 5

Money Laundering Detection Techniques

- Prevention, Detection and Due Diligence
- Early-Warning Mechanisms
- Designing Money Laundering and Terrorist Financing Risk Assessments
- Applying Know Your Customer (KYC) & Customer Due Diligence (CDD)
- Risk Management of Politically Exposed Persons (PEPs)
- Screening
- Best Practices Applicable to Firms / Banks
- Sanctions