Regulations and Control in Corporate Governance

Day 1
Overview of Governance

- Definition of governance

- The principles of good governance

- The roles & responsibilities of board and senior management

- Establish governance committee structures

- Role of audit committee

- Disclosure and transparency

- Corporate social responsibility

- Definition of ethics and organizational governance

Day 2
Regulatory Environment

- Introduction to regulatory environment

- Concept of compliance

- Compliance requirements

- Compliance program and manual

- Creating compliance culture

- International regulation

- The role of the regulators

- The difference between a best practice and a regulatory obligation

Day 3
Risk Management and Compliance Risk

- Understanding a risk-management approach

- The need for internal controls

- Understand how to identify, mitigate, and control risks effectively

- Approaches to risk assessment

- Establishing policies and procedures

- Creating a compliance monitoring program
- Record keeping ƒ compliance reports
- Ongoing compliance with laws and regulations

Day 4

Establish Effective Governance Program and Control

- Approaches for building better governance
- Internal control policy and procedures
- Accountability tools & techniques
- The governance framework & models
- Roles & responsibilities of board and senior management
- Risk response planning
- Risk monitoring & reviewing
- Risk evaluation

Day 5

Performance Monitoring and Measurement

- Strategic control and review mechanisms
- Organizing people and workflow
- Simplifying work procedures
- Effective management of compliance risks
- Using indicators to measure progress and performance
- Performance monitoring and evaluation