Table of Contents

In order to optimize the learning experience, PECB recommends scheduling two short breaks (15 minutes), and a lunch break (one hour) per training day. Time of the breaks can be adjusted accordingly.

Day 1 Introduction to the information security management system (ISMS)

	and ISO/IEC 27001
Se	ction 1: Training course objectives and structure7
•	Introduction
•	About PECB
•	General information
•	Learning objectives
•	Educational approach
•	Examination and certification
Se	ction 2: Standards and regulatory frameworks
•	What is ISO?
•	The ISO/IEC 27000 family of standards
•	Advantages of ISO/IEC 27001
Se	ction 3: Certification process
•	Certification process
•	Certification scheme
•	Accreditation bodies
•	Certification bodies
Se	ction 4: Fundamental concepts and principles of information security43
•	Information and asset
•	Information security
•	Confidentiality, integrity, and availability
•	Vulnerability, threat, and impact
•	Information security risk
•	Security controls and control objectives
•	Classification of security controls
Se	ction 5: Information security management system (ISMS)

•	ISMS implementation			
•	Overview — Clauses 4 to 10			
•	Overview — Annex A			
•	Statement of Applicability			
D	ay 2 Audit principles, preparation, and initiation of an audit			
Sec	tion 6: Fundamental audit concepts and principles			
•	Audit standards			
•	What is an audit?			
•	Types of audits			
•	Involved parties			
•	Audit objectives and criteria			
•	Combined audit			
•	Principles of auditing			
•	Competence and evaluation of auditors			
Sec	tion 7: The impact of trends and technology in auditing			
•	Big data			
	The three V's of big data			
•	The use of big data in audits			
•	Artificial intelligence			
	Machine learning			
•	Cloud computing			
	Auditing outsourced operations			
	Auditing outsourced operations			
Sec	tion 8: Evidence-based auditing73			
•	Audit evidence			
•	Types of audit evidence			
•	Quality and reliability of audit evidence			
Sec	Section 9: Risk-based auditing 89			
•	Audit approach based on risk			

Definition of a management system

Definition of ISMS Process approach

Reasonable assurance Section 10: Initiation of the audit process	•	Materiality and audit planning
 The audit team leader The audit team leader Audit feasibility Audit acceptance Establishing contact with the auditee The audit schedule Section 11: Stage 1 audit	•	Reasonable assurance
 The audit team leader The audit team leader Audit feasibility Audit acceptance Establishing contact with the auditee The audit schedule Section 11: Stage 1 audit	Se	ction 10: Initiation of the audit process
 The audit team Audit feasibility Audit acceptance Establishing contact with the auditee The audit schedule Section 11: Stage 1 audit. Objectives of the stage 1 audit Pre on-site activities Preparing for on-site activities Conducting on-site activities Documenting the outputs of stage 1 audit Day 3 On-site audit activities Section 12: Preparing for stage 2 audit. A Setting the audit objectives Planning the audit Assigning work to the audit team Preparing audit test plans Preparing documented information for the audit Section 13: Stage 2 audit. Conducting the opening meeting Collecting information Conducting audit tests Determining audit findings and nonconformity reports Performing a quality review Section 14: Communication during the audit Section 14: Communication during the audit Behavior during on-site visits 	•	
 Audit feasibility Audit acceptance Establishing contact with the auditee The audit schedule Section 11: Stage 1 audit	•	The audit team leader
 Audit acceptance Establishing contact with the auditee The audit schedule Section 11: Stage 1 audit	•	The audit team
 Establishing contact with the auditee The audit schedule Section 11: Stage 1 audit	•	Audit feasibility
 Establishing contact with the auditee The audit schedule Section 11: Stage 1 audit	•	Audit acceptance
Section 11: Stage 1 audit	•	•
 Objectives of the stage 1 audit Pre on-site activities Preparing for on-site activities Conducting on-site activities Documenting the outputs of stage 1 audit Day 3 On-site audit activities Section 12: Preparing for stage 2 audit	•	The audit schedule
 Objectives of the stage 1 audit Pre on-site activities Preparing for on-site activities Conducting on-site activities Documenting the outputs of stage 1 audit Day 3 On-site audit activities Section 12: Preparing for stage 2 audit	Se	ction 11: Stage 1 audit
 Preparing for on-site activities Conducting on-site activities Documenting the outputs of stage 1 audit Day 3 On-site audit activities Section 12: Preparing for stage 2 audit	•	Objectives of the stage 1 audit
 Conducting on-site activities Documenting the outputs of stage 1 audit Day 3 On-site audit activities Section 12: Preparing for stage 2 audit	•	Pre on-site activities
 Conducting on-site activities Documenting the outputs of stage 1 audit Day 3 On-site audit activities Section 12: Preparing for stage 2 audit	•	Preparing for on-site activities
Day 3 On-site audit activities Section 12: Preparing for stage 2 audit	•	
Day 3 On-site audit activities Section 12: Preparing for stage 2 audit	•	Documenting the outputs of stage 1 audit
 Setting the audit objectives Planning the audit Assigning work to the audit team Preparing audit test plans Preparing documented information for the audit Section 13: Stage 2 audit		
 Planning the audit Assigning work to the audit team Preparing audit test plans Preparing documented information for the audit Section 13: Stage 2 audit	se	
 Assigning work to the audit team Preparing audit test plans Preparing documented information for the audit Section 13: Stage 2 audit		
 Preparing audit test plans Preparing documented information for the audit Section 13: Stage 2 audit		•
 Preparing documented information for the audit Section 13: Stage 2 audit		
Section 13: Stage 2 audit		
 Conducting the opening meeting Collecting information Conducting audit tests Determining audit findings and nonconformity reports Performing a quality review Section 14: Communication during the audit Behavior during on-site visits 		Trepaining documented information for the addit
 Conducting the opening meeting Collecting information Conducting audit tests Determining audit findings and nonconformity reports Performing a quality review Section 14: Communication during the audit Behavior during on-site visits 	Se	ction 13: Stage 2 audit
 Conducting audit tests Determining audit findings and nonconformity reports Performing a quality review Section 14: Communication during the audit Behavior during on-site visits 	•	
 Determining audit findings and nonconformity reports Performing a quality review Section 14: Communication during the audit	•	Collecting information
 Performing a quality review Section 14: Communication during the audit Behavior during on-site visits 	•	Conducting audit tests
Section 14: Communication during the audit	•	Determining audit findings and nonconformity reports
Behavior during on-site visits	•	Performing a quality review
Behavior during on-site visits	Se	ction 14: Communication during the audit
•	•	
Communication during the audit	•	Communication during the audit

•	Work documents
	- · ·
Sec	ction 18: Audit documentation and quality review23
•	The principle of the benefit of the doubt
•	The principle of the benefit of the doubt
•	Drafting a nonconformity report
•	Documenting the audit findings
•	Audit findings Types of possible audit findings
Sec	ction 17: Drafting audit findings and nonconformity reports
	ay 4 Closing of the audit
D	Pay 4 Closing of the audit
•	Guidance for auditing an ISMS
•	Examples of audit test plans
•	Audit test plans
Sec	ction 16: Creating audit test plans97
•	Auditing virtual activities and locations
•	Evaluation
•	Corroboration
•	Technical verification
•	Sampling
•	Analysis
•	Observation
•	Documented information review
•	Interview
•	Evidence collection and analysis procedures
•	Overview of the audit process
Se	ction 15: Audit procedures
•	Communication with the top management
•	Cultural aspects
•	Conflict management
•	Guides and observers
•	Audit team meetings

Se	ction 19: Closing of the audit
•	Determining audit conclusions
•	Discussing audit conclusions
•	Closing meeting
•	Preparing audit report
•	Distributing the audit report
•	Making the certification decision
•	Closing the audit
Se	ction 20: Evaluation of action plans by the auditor58
•	Submission of action plans by the auditee
•	Content of action plans
•	Evaluation of action plans
Se	ction 21: Beyond the initial audit65
•	Audit follow-up activities
•	Surveillance activities
•	Recertification audit
•	Use of trademarks
Se	ction 22: Managing an internal audit program
•	Managing an audit program
•	Role of the internal audit function
•	Main internal audit services and activities
•	Audit program resources
•	Audit program records
•	Follow up on nonconformities
•	Monitoring, evaluating, reviewing, and improving an audit program
Se	ction 23: Closing of the training course
•	PECB certification scheme
•	PECB certification process
•	Other PECB services
•	Other PECB training courses and certifications