



## **PECB Certified ISO 37301** Lead Implementer

**Develop the competence necessary for the establishment, implementation, maintenance, and continual improvement of a compliance management system based on ISO 37301**

### **Why should you attend?**

The benefits of implementing a compliance management system (CMS) based on ISO 37301 are manifold: helping the organization avoid or mitigate the costs, risks, and damage of noncompliance, ensuring the long-term sustainability of the organization, promoting trust and confidence, encouraging good governance practices, due diligence, and ethically sound business dealings, etc.

The PECB ISO 37301 Lead Implementer training course provides the knowledge needed to establish, implement, manage, maintain, and continually improve a CMS. It aims to provide an in-depth understanding of ISO 37301 requirements, as well as the best practices and approaches used for the implementation and subsequent maintenance of the compliance management system.

The training course enables you to help organizations establish processes needed to adhere to all compliance obligations and establish controls that proactively prevent noncompliance and contribute to the creation of a culture of integrity, transparency, and openness.

The training course is followed by the certification exam. If you pass, you can apply for the “PECB Certified ISO 37301 Lead Implementer” credential. The “PECB ISO 37301 Lead Implementer” certificate is internationally recognized. It validates your professional capabilities and competences to implement a CMS in an organization based on the requirements of ISO 37301.



## Who should attend?

- Managers, consultants, and compliance officers wishing to develop a thorough understanding of ISO 37301 requirements for a compliance management system
- Managers and consultants seeking a comprehensive CMS implementation framework
- Compliance officers responsible for practicing due diligence with regard to compliance risks
- Individuals wishing to contribute in maintaining organizational integrity by supporting ethical behavior
- Managers and members of governance, risk management, and compliance teams
- Individuals aspiring to become compliance officers or compliance management consultants

## Course agenda

Duration: 5 days

### Day 1 | Introduction to ISO 37301 and the initiation of a CMS implementation

- Training course objectives and structure
- Standards and regulatory frameworks
- Fundamental concepts and principles of compliance management
- Initiation of the CMS implementation
- Leadership and commitment
- Compliance policy
- Roles, responsibilities, and authorities

### Day 2 | Implementation plan of a CMS

- The organization and its context
- CMS scope
- Compliance obligations
- Risks, opportunities, and compliance objectives

### Day 3 | Implementation of a CMS

- Resources and competence
- Awareness and communication
- Management of documented information
- Controls and procedures
- Monitoring, measurement, analysis, and evaluation

### Day 4 | CMS monitoring, continual improvement, and preparation for the certification audit

- Internal audit
- Management review
- Treatment of nonconformities and noncompliance
- Continual improvement
- Preparation for the certification audit
- Closing of the training course

### Day 5 | Certification exam



## Learning objectives

This training course will help you:

- Gain a comprehensive understanding of the concepts, approaches, methods, and techniques used for the implementation and effective management of a CMS
- Acknowledge the correlation between ISO 37301 and other standards and regulatory frameworks
- Gain the ability to interpret the requirements of ISO 37301 in the specific context of an organization
- Develop the necessary knowledge and expertise to support an organization in effectively planning, implementing, managing, monitoring, and maintaining a CMS
- Acquire the expertise to advise an organization in implementing CMS best practices

## Examination

Duration: 3 hours

The PECB Certified ISO 37301 Lead Implementer exam fully meets the requirements of the PECB Examination and Certification Program (ECP). It covers the following competency domains:

- Domain 1** | Fundamental principles and concepts of a compliance management system
- Domain 2** | Initiation of a CMS implementation
- Domain 3** | Planning of a CMS implementation based on ISO 37301
- Domain 4** | Implementation of a CMS based on ISO 37301
- Domain 5** | Monitoring and measurement of a CMS based on ISO 37301
- Domain 6** | Continual improvement of a CMS based on ISO 37301
- Domain 7** | Preparation for a CMS certification audit

For specific information about exam type, languages available, and other details, please visit the [List of PECB Exams](#) and the [Examination Rules and Policies](#).





## Certification

Upon the successful completion of the exam, you can apply for one of the credentials shown in the table below. You will receive a certificate once you fulfill all the requirements related to the selected credential. For more information about ISO 37301 certifications and the PECB certification process, please refer to the [Certification Rules and Policies](#).

Credential	Exam	Professional experience	CMS project experience	Other requirements
<b>PECB Certified ISO 37301 Provisional Implementer</b>	PECB Certified ISO 37301 Lead Implementer exam or equivalent	None	None	Signing the PECB Code of Ethics
<b>PECB Certified ISO 37301 Implementer</b>	PECB Certified ISO 37301 Lead Implementer exam or equivalent	Two years, of which one in compliance management	At least 200 hours of activities	Signing the PECB Code of Ethics
<b>PECB Certified ISO 37301 Lead Implementer</b>	PECB Certified ISO 37301 Lead Implementer exam or equivalent	Five years, of which two in compliance management	At least 300 hours of activities	Signing the PECB Code of Ethics
<b>PECB Certified ISO 37301 Senior Lead Implementer</b>	PECB Certified ISO 37301 Lead Implementer exam or equivalent	Ten years, of which seven in compliance management	At least 1,000 hours of activities	Signing the PECB Code of Ethics

**Note:** PECB certified individuals who possess the Lead Implementer and Lead Auditor credentials are qualified for the respective **PECB Master credential**, given they have taken four additional Foundation exams related to this scheme. For more detailed information about the Foundation exams and the overall Master credential requirements, go to <https://pecb.com/en/master-credentials>.

## General information

- Certification fees are included in the exam price.
- Training material of over 450 pages of information and practical examples will be provided.
- An attendance record worth 31 CPD (Continuing Professional Development) credits will be issued to participants who have attended the training course.
- In case of exam failure, candidates can retake the exam once for free within 12 months following the initial exam date.